

## Certificate of Mailing Under 37 C.F.R. §1.10

I hereby certify that this correspondence and any paper referred to as being attached or enclosed is being mailed via "Express Mail Mailing envelope label # EV 304" of the United States Postal Service on the date shown below in an envelope addressed to the Director of Patents, MS Patent Application; P.O. Box 1450; Alexandria, VA 22313-1450

Date: September 11, 2003

By: Karyn F. Massie

Karyn F. Massie

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## REQUEST FOR FILING APPLICATION

Under Rule 53(a), (b) & (f)

(No Filing Fee or Oath/Declaration)

(Do NOT use for Provisional or PCT Applications)

Use for Design or Utility Applications

PATENT  
APPLICATION

RULE 53(f) NO DECLARATION

Mail Stop Patent Application  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Atty. Dkt.

P 0305443

M#

051501

Client Ref

Date:

September 11, 2003

Sir:

1. This is a Request for filing a new Patent Application (☐ Design ☒ Utility) entitled:

2. (Complete) Title:

**METHODS OF TREATING OX40 MEDIATED RECALL IMMUNE RESPONSES  
AND AGENTS USEFUL FOR IDENTIFYING SAME**

without a filing fee or Oath/Declaration but for which is enclosed the following:

3. ☒ Abstract 1 page(s).

4. 50 Pages of Specification (only spec. and claims); 5. ☐ Specification in non-English language

6. 76 Numbered claim(s); and

7. ☒ Drawings: 8 sheet(s) ☐ 1 set informal; 8. ☒ formal of size: ☐ A4 ☒ 11"

9. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1) 60/410,534	September 11, 2002	(2)	
(3)		(4)	
(5)		(6)	

10. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	

11. (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date) \_\_\_\_\_  
in U.S. Application No. \_\_\_\_\_ / filed on \_\_\_\_\_

12. ☐ This is a reissue of Patent No. \_\_\_\_\_

13. ☐ See top first page re prior Provisional, National, International application(s) (X box only if info is there and do not complete corresponding item 14 or 15.)

14. ☐ Amend the specification by inserting before the first line -- This is a ☐ Continuation-in-Part  
☐ Divisional ☐ Continuation ☐ Substitute Application (MPEP 201.09) of:

14(a) ☐ National Appln. No. \_\_\_\_\_ / filed \_\_\_\_\_ -- (M#) \_\_\_\_\_

14(b) ☐ International Appln. No. PCT/ \_\_\_\_\_ filed \_\_\_\_\_ which

designated the U.S., and that International Application ☐ was ☐ was not published under PCT Article 21(2) in English.--

15. ☐ **Amend the specification** by inserting before the first line: --This application claims the benefit of U.S. Provisional Application No. 60/ \_\_\_, filed \_\_\_.--
16. Extension to date: ☐ concurrently filed ☐ not needed ☐ previously filed
17. ☐ Small Entity Status is claimed (**pre-filing confirmation required**)
- 17(a) ☐ Attached: (No.) Small Entity Statement(s). (Since 9/8/00 Small Entity Statement not essential to make claim)
- 17(b) ☒ See **NONPUBLICATION REQUEST** under Rule 213(a) attached (PAT-258)
18. ☐ Prior application is assigned to

by Assignment recorded \_\_\_\_\_ Reel \_\_\_\_\_ Frame \_\_\_\_\_

19. ☒ **Attached: Specification coversheet; express mailing label # EV 304 112 270 US; and return p stcard.**

20. This application is made by the following named inventor(s) **(Double check instructions for accuracy.):**  
(Listing of inventor(s) not a requirement, but list if known)

(1) Inventor	Michael		CROFT
	First	Middle Initial	Family Name
Residence	San Diego	CA / USA	USA
	City	State/Foreign Country	Country of Citizenship
Mailing Address	11878 Willmington Road, San Diego, CA		
(include Zip Code)	92128		

(2) Inventor	Shahram		Salek-Ardakani
	First	Middle Initial	Family Name
Residence	San Diego	CA / USA	IRAN
	City	State/Foreign Country	Country of Citizenship
Mailing Address	3717 Nobel Dr., #1317, San Diego, CA		
(include Zip Code)	92122		

(3) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

21. NOTE: FOR ADDITIONAL INVENTORS, check box ☐  
and attach sheet with same information regarding additional inventors.

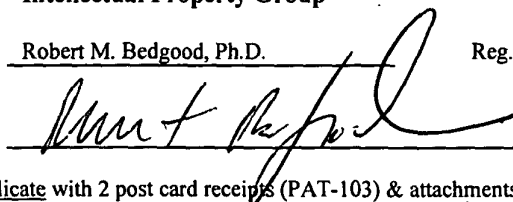
Pillsbury Winthrop LLP  
Intellectual Property Group

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Atty/Sec: RMB/kfm

By: Atty: Robert M. Bedgood, Ph.D.

Reg. No. 43,488

Sig:



Fax: (858) 509-4010  
Tel: (858) 509-4065

NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT APPLICATION of:

Inventor(s): CROFT, Michael

Atty Dkt: 051501 / 0305443

C#

M#

Filed: Herewith

Certificate Of Express Mailing Under 37 C.F.R. §1.10

Title: METHODS OF TREATING  
OX40 MEDIATED RECALL  
IMMUNE RESPONSES AND  
AGENTS USEFUL FOR  
IDENTIFYING SAME

I hereby certify that this correspondence (along with any paper referred to as being attached) is being mailed via "Express Mail Post Office to Addressee" service of the United States Postal Service (Express Mail No. EV 304 112 270 US) on the date shown below in an envelope addressed to the Director of Patents, MS Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450

Dated: 9/11/03

By:   
Kathryn F. Massie

**NONPUBLICATION REQUEST UNDER RULE 213(a)**

The attached new application is **NOT TO BE PUBLISHED** under 35 U.S.C. §122(b).

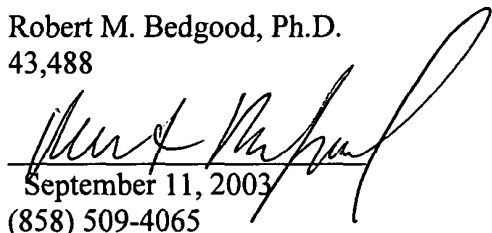
I hereby certify that the invention disclosed in the attached new application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

This request is signed in compliance with the provisions of Rule 33(b) by the signature(s) in the column below.

Respectfully Submitted,

Pillsbury Winthrop LLP

By Atty.: Robert M. Bedgood, Ph.D.  
Reg. No.: 43,488

Sig:   
Date: September 11, 2003  
Tel.: (858) 509-4065  
Fax: (858) 509-4010